

## **SECOND SUPPLEMENTARY INFORMATION MEMORANDUM**

**This second supplementary information memorandum is dated 1 January 2022 and must be read together with the information memorandum dated 15 November 2016 and the first supplementary information memorandum dated 16 December 2016 for :-**

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## **RHB GLOBAL MACRO OPPORTUNITIES FUND**

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### **Manager**

RHB Asset Management Sdn Bhd  
Registration No.: 198801007231 (174588-X)  
(A member of RHB Banking Group)

### **Trustee**

HSBC (Malaysia) Trustee Berhad  
Registration No.: 193701000084 (1281-T)  
(A member of the HSBC Group)

**INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS SECOND SUPPLEMENTARY INFORMATION MEMORANDUM DATED 1 JANUARY 2022 WHICH IS TO BE READ TOGETHER WITH THE INFORMATION MEMORANDUM DATED 15 NOVEMBER 2016 AND THE FIRST SUPPLEMENTARY INFORMATION MEMORANDUM DATED 16 DECEMBER 2016. IF IN DOUBT, PLEASE OBTAIN PROFESSIONAL ADVICE BEFORE SUBSCRIBING TO THE FUND.**

**UNITS OF THE RHB GLOBAL MACRO OPPORTUNITES FUND CAN ONLY BE SOLD TO SOPHISTICATED INVESTORS.**

**Responsibility Statement**

This second supplementary information memorandum dated 1 January 2022 (“Second Supplementary Information Memorandum”) in relation to RHB Global Macro Opportunities Fund (“Fund”) has been seen and approved by the directors of RHB Asset Management Sdn Bhd and they collectively and individually accept full responsibility for the accuracy of all information contained herein and confirm, having made all enquiries which are reasonable in the circumstances, that to the best of their knowledge and belief, there are no other facts omitted which would make any statement herein misleading.

**Statements of Disclaimer**

The Securities Commission Malaysia will not be liable for any non-disclosure on the part of RHB Asset Management Sdn Bhd and takes no responsibility for the contents of this Second Supplementary Information Memorandum, makes no representation as to its accuracy or completeness and expressly disclaims any liability whatsoever for any loss howsoever arising from, or in reliance upon the whole or any part of the contents of this Second Supplementary Information Memorandum.

Additionally, prospective investors should not treat the contents of this Second Supplementary Information Memorandum, and the information memorandum dated 15 November 2016 (“Information Memorandum”) and the first supplementary information memorandum dated 16 December 2016 (“First Supplementary Information Memorandum”) as advice relating to investment, law or taxation and must rely on their own legal counsel, accountants, financial advisers and/or other professional advisers as to legal, tax and related matters concerning the Fund and investments therein.

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**1. AMENDMENTS TO DEFINITIONS**

- a. The definition of “financial institution(s)” on page 1 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

- financial institution(s) (a) If the institution is in Malaysia –
- (i) licensed bank or licensed investment bank as defined under the Financial Services Act 2013; or
  - (ii) licensed Islamic bank as defined under the Islamic Financial Services Act 2013; or
- (b) If the institution is outside Malaysia, any institution that is licensed, registered, approved or authorised by the relevant banking regulator to provide financial services.

- b. The definition of “Manager” on page 2 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

Manager RHB Asset Management Sdn Bhd.

- c. The definition of “Sophisticated Investor” on pages 2 to 3 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

- Sophisticated Investor
- 1. A unit trust scheme, private retirement scheme or prescribed investment scheme; or
  - 2. Bank Negara Malaysia; or
  - 3. A licensed person or a registered person; or
  - 4. An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator; or
  - 5. A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Securities Commission Malaysia; or
  - 6. A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010; or
  - 7. An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010; or
  - 8. A chief executive officer or a director of any person referred to in paragraphs 3, 4, 5, 6 and 7; or

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9. A closed-end fund approved by the Securities Commission Malaysia; or
10. A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies; or
11. A corporation that—
  - (a) is a public company under the Companies Act 2016 which is approved by the Securities Commission Malaysia to be a trustee under the Capital Markets and Services Act 2007 and has assets under its management, exceeding ten million ringgit or its equivalent in foreign currencies; or
  - (b) is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies; or
12. A corporation with total net assets exceeding ten million ringgit or its equivalent in foreign currencies based on the last audited accounts; or
13. A partnership with total net assets exceeding ten million ringgit or its equivalent in foreign currencies; or
14. A statutory body<sup>^</sup> established under any laws unless otherwise determined by the Securities Commission Malaysia; or

*<sup>^</sup>Pursuant to Technical Note No.1/2021 issued by the Securities Commission Malaysia, a “joint management body” established under section 17 of the Strata Management Act 2013 is not qualified to be a “statutory body” for the purposes of Paragraph 14, Part I of Schedules 6 and 7 of the Capital Markets and Services Act 2007, given that the function or mandate of a “joint management body” does not include investing in capital market products. Such entity should not therefore be treated as a high-net worth entities.*
15. A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967; or
16. An individual—
  - (a) whose total net personal assets, or total net joint assets with his or her spouse, exceeding three million ringgit or its equivalent in foreign currencies, excluding the value of the individual’s primary residence; or

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- (b) who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months; or
- (c) who, jointly with his or her spouse, has a gross annual income exceeding four hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months; or
- (d) whose total net personal investment portfolio<sup>^</sup> or total net joint investment portfolio<sup>^</sup> with his or her spouse, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies; or

*<sup>^</sup>Pursuant to Technical Note No.1/2021 issued by the Securities Commission Malaysia, total net investment portfolio would be the total investments in any capital market products less any borrowings under any margin account for and/or any other borrowings taken for the capital market products in which the individual has invested.*

17. Any person who acquires unlisted capital market products where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise.

- d. The definition of “Trustee or HSBCT” on page 3 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

Trustee or HSBCT	HSBC (Malaysia) Trustee Berhad.
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- e. The definition of “US Person” is hereby inserted immediately after the definition of “USD Class” on page 4 of the Information Memorandum:

US Person	Refers to a US Person as defined in Section 7701 (a) (30) of the Internal Revenue Code and includes an individual who is a citizen or resident of the United States of America.
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## **2. AMENDMENTS TO INFORMATION ON THE FUND**

- a. The following information is hereby inserted immediately after “Name of Fund” in Section 1 – Key Data under the heading “Information on the Fund” on page 5 of the Information Memorandum:

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	Class(es) of Units	
	RM Class	USD Class
<b>INFORMATION ON THE FUND</b>		
<b>Fund Category</b>	Feeder Fund.	
<b>Fund Type</b>	Growth.	

- b. The information on “Asset Allocation” in Section 1 – Key Data under the heading “Information on the Fund” on page 5 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

	Class(es) of Units	
	RM Class	USD Class
<b>INFORMATION ON THE FUND</b>		
<b>Asset Allocation</b>	<p>At least 95% of the Fund’s NAV – Investments in the C (Acc) – USD (hedged) shares of the Target Fund.</p> <p>The balance of the Fund’s NAV shall be invested in liquid assets including money market instruments and Placements of Cash.</p>	

- c. The information on “Benchmark” in Section 1 – Key Data under the heading “Information on the Fund” on pages 5 to 6 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

	Class(es) of Units	
	RM Class	USD Class
<b>INFORMATION OF THE FUND</b>		
<b>Benchmark</b>	<p>ICE BofA ESTR Overnight Rate Index Total Return in EUR Hedged to USD</p> <p>The benchmark chosen best represents the investments of the Target Fund. An investor may refer to the Manager for this benchmark indicator.</p> <p>The risk profile of the Fund is different from the risk profile of the benchmark.</p>	

- d. The information on “Distribution Mode” in Section 1 – Key Data under the heading “Information on the Fund” on page 6 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

	Class(es) of Units	
	RM Class	USD Class
<b>INFORMATION OF THE FUND</b>		
<b>Distribution Mode</b>	<p>Distribution, if any, after deduction of taxation and expenses (i.e. net distribution) will be reinvested, unless the Unit Holder specifically requests for distribution to be paid out to the Unit Holder by indicating in the purchase/switch form. For distribution reinvestment, distribution will be reinvested</p>	

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	<p>based on the NAV per Unit of the Class as at the first Business Day when Units of that Class are quoted ex-entitlement. Allotment of such Units shall be within two (2) weeks thereafter.</p> <p>For Unit Holder who specifically requests for distribution to be paid out, it will be credited into the bank account opened with financial institutions in Malaysia. In the absence of a valid and active bank account, the distribution will be reinvested based on the NAV per Unit of the Class subscribed on a Business Day determined at the discretion of the Manager.</p>
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### 3. AMENDMENT TO FEES AND CHARGES

- a. The information on “Repurchase Charge” in Section 1 – Key Data under the heading “Fees and Charges” on page 6 of the Information Memorandum and Section (a) on page 1 of the First Supplementary Information Memorandum is hereby deleted in its entirety and replaced with the following:

	Class(es) of Units	
	RM Class	USD Class
<b>FEES AND CHARGES</b>		
<b>Repurchase Charge</b>	None.	

- b. The information on “Note 1” in Section 1 – Key Data under the heading “Fees and Charges” on page 7 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

<sup>1</sup> All fees and charges payable to the Manager and the Trustee are subject to any applicable taxes and/or duties and at such rate as may be imposed by the government from time to time.

Note: Any bank charges imposed by the relevant financial institutions will be borne by the Unit Holders.

### 4. AMENDMENTS TO TRANSACTION DETAILS

- a. The information on “Minimum Holding at all times” in Section 1 - Key Data under the heading “Transaction Details” on page 7 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

	Class(es) of Units	
	RM Class	USD Class
<b>TRANSACTION DETAILS</b>		
<b>Minimum Holding at all times</b>	<p>500 Units or such other quantity as the Manager may from time to time decide.</p> <p>If the balance of Units held after the redemption is less than the minimum holding at all times, the Manager can withdraw the entire investment and forward the proceeds to the Unit Holder. In any such situation, investors will be notified accordingly.</p>	

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- b. The information on “Minimum Redemption of Units” in Section 1 - Key Data under the heading “Transaction Details” on page 7 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

Class(es) of Units	
RM Class	USD Class
TRANSACTION DETAILS	
<b>Minimum Redemption of Units</b>	Any number of Units.

- c. The information on “Switching Facility” in Section 1 - Key Data under the heading “Transaction Details” on page 7 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

Class(es) of Units	
RM Class	USD Class
TRANSACTION DETAILS	
<b>Switching Facility</b>	Available. Units of the Fund can only be switched to other funds under the management of the Manager that are of the same currency units and that allow switching. Minimum amount for a switch is 500 Units.

- d. The information on “Dealing Hours” in Section 1 – Key Data under the heading “Transaction Details” on page 8 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

Class(es) of Units	
RM Class	USD Class
TRANSACTION DETAILS	
<b>Dealing Hours</b>	9:00 a.m. to 4:00 p.m. (Malaysia time) on any Business Day or such later time as the Manager may determine provided always that the complete applications for the Fund are received before the next valuation point. The Manager may also vary the dealing hours as it may deem appropriate. Investors will be notified on the change of dealing hours via email or notification published on the Manager’s website.

- e. The following information is hereby inserted immediately after the last bullet point in “Other Information” in Section 1 Key Data under the heading “Transaction Details” on page 8 of the Information Memorandum:

Class(es) of Units	
RM Class	USD Class
TRANSACTION DETAILS	
<b>Other Information</b>	<ul style="list-style-type: none"> <li>US Person is not eligible to subscribe to the Units of the Fund. If a Unit Holder is a US Person or subsequently becomes a US Person, the Manager will issue a notice to that US Person requiring him/her to either redeem all the Units of the Fund or transfer all the Units of the Fund to a non-US Person within thirty (30) days from the date of the notice. The Manager shall have the right to compulsorily redeem all the Units held by the</li> </ul>

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	said US Person after thirty (30) days from the date of the notice if the US Person fails to redeem or transfer his/her Units within the stipulated period.
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## **5. AMENDMENT TO THE ASSET ALLOCATION**

The information on “Asset Allocation” in Section 3.2 on page 9 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

### **3.2 Asset Allocation**

At least 95% of the Fund’s NAV

– Investments in the C (Acc) – USD (hedged) shares of the Target Fund.

The balance of the Fund’s NAV shall be invested in liquid assets including money market instruments and Placements of Cash.

## **6. AMENDMENT TO THE BENCHMARK**

The information on “Benchmark” in Section 3.3 on pages 9 to 10 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

### **3.3 Benchmark**

ICE BofA ESTR Overnight Rate Index Total Return in EUR Hedged to USD

The benchmark chosen best represents the investments of the Target Fund. An investor may refer to the Manager for this benchmark indicator.

The risk profile of the Fund is different from the risk profile of the benchmark.

## **7. AMENDMENTS TO THE MANAGER’S INFORMATION**

The information on “Manager” in Section 10.1 on page 19 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

### **10.1 Manager**

RHB Asset Management Sdn Bhd (Registration No.: 198801007231 (174588-X))

Registered office:

Level 10, Tower 1

RHB Centre, Jalan Tun Razak

50400 Kuala Lumpur

Principal office:

Level 8, Tower 2 & 3

RHB Centre, Jalan Tun Razak

50400 Kuala Lumpur

Hotline: 1-800-88-3175

Tel: 03-9205 8000 Fax: 03-9205 8100

E-mail: [rhbam@rhbgroup.com](mailto:rhbam@rhbgroup.com)

Website: [www.rhbgroup.com](http://www.rhbgroup.com)

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**8. UPDATE ON THE TRUSTEE'S DELEGATES**

The information on "Trustee's Delegate" in Section 10.2.7 on page 22 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

**10.2.7 Trustee's Delegates**

The Trustee has appointed The Hongkong and Shanghai Banking Corporation Ltd as the custodian of both the local and foreign assets of the Fund. For quoted and unquoted local investments of the Fund, the assets of the Fund are held through HSBC Bank Malaysia Berhad and/or HSBC Nominees (Tempatan) Sdn Bhd. The Hongkong and Shanghai Banking Corporation Ltd is a wholly owned subsidiary of HSBC Holdings Plc, the holding company of the HSBC Group. The custodian's comprehensive custody and clearing services cover traditional settlement processing and safekeeping as well as corporate related services including cash and security reporting, income collection and corporate events processing. All investments are registered in the name of the Trustee or to the order of the Trustee. The custodian acts only in accordance with instructions from the Trustee.

The Trustee shall be responsible for the acts and omissions of its delegate as though they were its own acts and omissions.

However, the Trustee is not liable for the acts, omissions or failure of any third party depository including central securities depositories or clearing and/or settlement systems in any circumstances.

Particulars of the Trustee's delegates

For foreign assets:

The Hongkong and Shanghai Banking Corporation Limited  
6/F, Tower 1,  
HSBC Centre,  
1 Sham Mong Road, Hong Kong.  
Telephone No: (852)2288 1111

For local assets:

The Hongkong and Shanghai Banking Corporation Limited (As Custodian) and assets held through HSBC Nominees (Tempatan) Sdn Bhd (Registration No.: 199301004117 (258854-D))  
Level 21  
Menara IQ  
Lingkar TRX  
55188 Tun Razak Exchange  
Kuala Lumpur, Malaysia  
Telephone No: (603)2075 3000 Fax No: (603)8894 2588

The Hongkong and Shanghai Banking Corporation Limited (As Custodian) and assets held through HSBC Bank Malaysia Berhad (Registration No.: 198401015221(127776-V))  
Level 21  
Menara IQ  
Lingkar TRX  
55188 Tun Razak Exchange  
Kuala Lumpur, Malaysia  
Telephone No: (603)2075 3000 Fax No: (603)8894 2588

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**9. UPDATE ON THE AUDITORS OF THE MANAGER AND THE FUND**

The information on “Auditors of the Manager and the Fund” in Section 10.3 on page 22 of the Information Memorandum is hereby deleted in its entirety and replaced with the following:

**10.3 Auditors of the Manager and the Fund**

PricewaterhouseCoopers PLT  
Level 10, 1 Sentral, Jalan Rakyat,  
Kuala Lumpur Sentral,  
50706 Kuala Lumpur  
Tel: 03-2173 1188  
Fax: 03-2173 1288

**10. THE INFORMATION MEMORANDUM AS SUPPLEMENTED BY THE FIRST SUPPLEMENTARY INFORMATION MEMORANDUM REMAINS IN FULL FORCE SUBJECT TO AMENDMENTS IN THE SECOND SUPPLEMENTARY INFORMATION MEMORANDUM**

Subject only to the variations herein contained and such other alterations as may be necessary to make the Information Memorandum as supplemented by the First Supplementary Information Memorandum consistent with this Second Supplementary Information Memorandum, the Information Memorandum as supplemented by the First Supplementary Information Memorandum shall remain in full force and effect and shall be read and construed and be enforceable as if the terms of this Second Supplementary Information Memorandum were inserted therein by way of addition or substitution as the case may be.

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## ACCOUNT APPLICATION FORM WHOLESALE FUND Individual / Corporate

☐ Individual ☐ Joint ☐ Corporate ☐ Staff Application

This account application form should not be circulated unless accompanied by the relevant Information Memorandum(s) and its Supplementary(ies) (if any). Investor(s) should read and understand the contents of the relevant Information Memorandum(s) and its Supplementary(ies) (if any) before completing this account application form. **Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For 1st time Investor(s), this account application form is required to be completed.**

### PARTICULARS OF INDIVIDUAL APPLICANT / CORPORATE APPLICANT

You MUST be 18 years old and above as at the date of this application. Please provide a copy of your NRIC or Passport.

Name of Individual/ Corporate Applicant  
(as in NRIC/Passport/Certificate of Incorporation)

NRIC No. (new) - NRIC No.(old)/Passport No./Company Registration No.

Date of Birth / Incorporation (DD/MM/YYYY) - Country of Birth / Incorporation

Nationality / Country of Incorporation ☐ Malaysia(n) ☐ Others (please specify country)

Permanent Resident Status ☐ Malaysian ☐ Others (please specify country) Permanent Resident No.

Permanent Address  
(as in NRIC / Passport / Certificate of Incorporation)

Post Code - Town / City

State - Country

Correspondence/ Mailing Address  
(complete if different from permanent address)

Post Code - Town / City

State - Country

Tel No. Country Code Area Code Residence / House Mobile - Country Code Area Code Office ext Fax No.

Email Address

By providing your email address to RHB Asset Management Sdn Bhd ("RHBAM"), you have consented to receive communications and/or information from RHBAM relating to your investment via email. Notices delivered via email to applicant are deemed sent and received on the date such email is sent.

(To be completed if Individual Applicant)

Mother's Maiden Name

Gender ☐ Male ☐ Female Bumiputera Status ☐ Yes ☐ No

Race ☐ Malay ☐ Chinese ☐ Indian ☐ Others (please specify)

Marital Status ☐ Single ☐ Married ☐ Widowed ☐ Divorced No. of Dependants (please indicate if any)

Education Level ☐ Primary ☐ Secondary ☐ STPM / Diploma / PreU ☐ Degree ☐ Post Graduate ☐ Others (please specify)

Source of Income ☐ Employed (Permanent/Contract) ☐ Own business ☐ Savings ☐ Inheritance ☐ Others (please specify)

Employer's/Company's Name

Employer's/Company's Office ☐ In Malaysia ☐ Outside of Malaysia (please specify) Employer's/Company's Tel No.

Occupation/Designation

Nature of Business of Applicant/ Applicant's Employer ☐ Financial/Banking/Investment ☐ Legal/Tax ☐ Telecommunications ☐ Hotel/ Restaurant ☐ Consultancy ☐ Government/ Government related ☐ Medical/Health/Science ☐ Education ☐ Real Estate/ Property ☐ Manufacturing ☐ Construction ☐ Others (please specify)

Annual Income ☐ Below RM20,000 ☐ RM20,001 - RM60,000 ☐ RM60,001 - RM120,000 ☐ RM120,001 - RM180,000 ☐ RM180,001 - RM240,000 ☐ RM240,001 and above

Estimated Net Worth ☐ Below RM50,000 ☐ RM50,001 - RM100,000 ☐ RM100,001 - RM200,000 ☐ RM200,001 - RM500,000 ☐ RM500,001 - RM1,000,000 ☐ RM1,000,001 - RM3,000,000 ☐ Above RM3,000,000

(To be completed if Corporate Applicant)

Does your company own total net assets of more than RM10 million or its equivalent in foreign currencies?

☐ Yes

☐ No

Nature of Business of Applicant/Applicant's Employer

☐ Financial/Banking/Investment  
☐ Medical/Health/Science

☐ Legal/Tax  
☐ Education

☐ Telecommunications  
☐ Real Estate/ Property

☐ Hotel/ Restaurant  
☐ Manufacturing

☐ Consultancy  
☐ Construction

☐ Government/ Government related  
☐ Others  
(please specify ) \_\_\_\_\_

Company Status

☐ Bumiputra Controlled

☐ Non-Bumiputra Controlled

☐ Non-Malaysian Controlled

Company Source of Income

☐ Disposal of non-core business/asset/investments

☐ Fund raising exercise such as right issue

☐ Cash in hand/surplus funds/working capital

Contact Person ( 1 )

Designation

Department

Tel No.

ext

Fax No.

Office Email Address

Contact Person ( 2 )

Designation

Department

Tel No.

ext

Fax No.

Office Email Address

PARTICULARS OF JOINT INDIVIDUAL APPLICANT

Name of Joint Applicant

(as in NRIC/Passport/Birth Certificate)

NRIC No. (new)

NRIC No.(old)/Passport  
No./Birth Certificate No.

Date of Birth (DD/MM/YYYY)

Country of birth

Nationality

☐ Malaysian

☐ Others  
(please specify)

Permanent Resident Status

☐ Malaysian

☐ Others  
(please specify)

Permanent  
Resident No.

Gender

☐ Male

☐ Female

Bumiputera Status

☐ Yes

☐ No

Race

☐ Malay

☐ Chinese

☐ Indian

☐ Others (please specify)

Marital Status

☐ Single

☐ Married

☐ Widowed

☐ Divorced

No. of Dependents (please indicate if any)

Relationship to Individual Applicant

☐ Parent

☐ Spouse

☐ Child

☐ Sibling

☐ Others (please specify)

Source of Income

☐ Employed (Permanent/Contract)

☐ Own business

☐ Savings

☐ Inheritance

☐ Others (please specify)

Employer's/Company's Office

☐ In Malaysia

☐ Outside of Malaysia (please specify)

Employer's/Company's  
Tel No.

Occupation/Designation

Nature of Business of Applicant/Applicant's Employer

☐ Financial/Banking/Investment  
☐ Medical/Health/Science

☐ Legal/Tax  
☐ Education

☐ Telecommunications  
☐ Real Estate/ Property

☐ Hotel/ Restaurant  
☐ Manufacturing

☐ Consultancy  
☐ Construction

☐ Government/ Government related  
☐ Others (please specify ) \_\_\_\_\_

Annual Income

☐ Below RM20,000

☐ RM120,001 - RM180,000

☐ RM20,001 - RM60,000

☐ RM180,001 - RM240,000

☐ RM60,001 - RM120,000

☐ RM240,001 and above

Estimated Net Worth

☐ Below RM50,000

☐ RM200,001 - RM500,000

☐ RM50,001 - RM100,000

☐ RM500,001 - RM1,000,000

☐ RM100,001 - RM200,000

☐ RM1,000,001 - RM3,000,000

☐ Above RM3,000,000

Permanent Address  
(as in NRIC/Passport)

Post Code

Town / City

State

Country

Correspondence/  
Mailing Address  
(complete if different  
from permanent  
address)

Post Code

Town / City

State

Country

Tel No.

Country Code

Area Code

Residence / House

Mobile

INVESTMENT OBJECTIVE & EXPERIENCE

Investment Objective

☐ Capital Growth

☐ Regular Income

☐ Capital Protection

☐ Education

☐ Wealth Accumulation

☐ Retirement

Investment Experience

☐ Unit Trust \_\_\_\_\_ year(s)

☐ Trading on Bursa Malaysia \_\_\_\_\_ year(s)

☐ Futures / Options \_\_\_\_\_ year(s)

☐ Others \_\_\_\_\_ year(s)

☐ No experience

Investment Time Frame

☐ Long Term (> 5 years)

☐ Medium (3-5 years)

☐ Short Term (< 3 years)

## RHB PRIVACY NOTICE

I/We understand that RHB Asset Management Sdn Bhd ("RHBAM") will use, collect, record, store, share and/or process my/our personal information, including, without limitation, my/our contact details, background information, financial data, tax residency and other information relevant to my/our application for the product and / or service which

- (a) I/we have provided in this form or through any other contact with RHB Banking Group (which shall include its holding company, subsidiary(s), and any associated company(s), including any company as a result of any restructuring, merger, sale or acquisition), or
- (b) has been obtained from analysis of my/our payment and other transactions/services within the RHB Banking Group, or
- (c) has been obtained from third parties such as employers, joint applicants/account holders, guarantors, legal representatives, industry/financial related associations, government/regulatory authorities, credit bureaus or credit reporting agencies, retailers, social networks and fraud prevention agencies or other organizations

for any and/or all of the following purposes ("Purpose"), if applicable:

- (i) providing this product and/or service and notifying me/us about important changes or developments to the features;
- (ii) updating and managing the accuracy of RHB Banking Group's records;
- (iii) prevention, detection or prosecution of crime, and complying with legal and regulatory obligations;
- (iv) assessment and analysis including credit / lending / insurance risks / behaviour scoring / market and product analysis and market research;
- (v) communications and ensuring customer satisfaction, which may include conducting surveys to improve the quality of our products and services, responding to inquiries and complaints and to generally resolve disputes;
- (vi) determining the amount of my/our indebtedness and recovering debt that I/we owe to RHBAM;
- (vii) maintaining my/our credit history for present and future reference;
- (viii) enabling an actual or proposed assignee of RHBAM, or participant or sub-participant of RHBAM to evaluate my/our transactions which are intended to be the subject of the assignment, participation or sub-participation;
- (ix) cross-selling, marketing and promotions of products and/or services of RHB Banking Group and its strategic alliances;
- (x) for RHBAM's corporate events (including networking events, launching of products, etc) /contests, of which photographs / images of I/we may be captured and may be used for RHBAM's publications; or
- (xi) protecting RHB Banking Group's interests and other ancillary or related purposes.

I/We understand and acknowledge that it is necessary for RHBAM to process my/our personal information for the Purpose, without which RHBAM will not be able to provide the product/service that I/we have requested from RHBAM and to notify I/we about important changes or developments to the products/services. Where I/we have provided RHBAM with sensitive personal information (in particular, information consisting my/our physical/mental health for applications of insurance products/services), I/we hereby provide RHBAM with my/our express consent to process the same in the manner described in this Privacy Notice. I/we may exercise my/our options in respect of receiving marketing materials (including cross-selling, marketing and promotions as described above) at any time by contacting our Customer Service at 03 - 9205 8000.

I/We understand that RHBAM may disclose my/our personal information (or sensitive personal information, if applicable) to other companies within the RHB Banking Group, service providers, merchants and strategic partners, vendors including debt collection agencies, professional advisers, industry/financial related associations, credit bureaus or credit reporting agencies and fraud prevention agencies, governmental agencies, other financial institutions and any of their respective agents, servants and/or such persons, whether located within or outside Malaysia for the Purpose, if applicable, subject at all times to any laws (including regulations, standards, guidelines and/or obligations) applicable to RHBAM. I/We further understand that I/we may request for correction (if my/our personal information is inaccurate, outdated, incomplete, etc), access to (a prescribed fee may be charged), or deletion (if I/we no longer have any existing products/services with RHB Banking Group) of my/our personal information or limit the processing thereof at any time hereafter by submitting such request via post, email or fax to the following address:

### Customer Service

RHB Asset Management Sdn Bhd  
Level 8, Tower 2 & 3, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia  
Contact: +603-9205 8000 Facsimile: +603-9205 8100 Email: rhbam@rhbgroup.com

I/We acknowledge that RHB Banking Group may modify or update its Privacy Notice from time to time, a copy of which is available at [www.rhbgroup.com](http://www.rhbgroup.com) and that I/we may channel any complaints or inquiries I/we may have in the manner indicated above.

### ACKNOWLEDGEMENT AND CONSENT

- By providing my/our personal information and signature, I/we consent to RHBAM processing my/our personal information for any necessary disclosures and overseas transfers of my/our personal information to relevant third parties, for the Purpose, if applicable.
- I/We agree to the disclosure and/or transfer of my/our personal information to relevant third parties as a result of any restructuring, sale or acquisition of any company within the RHB Banking Group, provided that the recipient uses my/our personal information for the Purpose, if applicable.
- I/We also represent and warrant that I/we have sufficiently obtained the consent of third party individual(s) (e.g. family, spouse, related parties, supplementary cardholder and/or emergency contact persons, etc) whose personal information I/we have disclosed to RHBAM to allow RHBAM to process the same in relation to the Purpose, if applicable.

**[This paragraph is only applicable to the authorized signatory(s)/contact person(s) of RHBAM's corporate customer(s), if any]**

- As the authorized signatory(s)/contact person(s) of RHBAM's corporate customer, I/we understand that personal information of the directors, individual shareholders, employees, other authorised signatories, individual guarantors, individual security providers, suppliers/vendors and/or related parties etc, may be collected and processed by RHBAM for the Purpose described above. I/We represent and warrant that I/we am/are entitled to provide the said personal information to RHBAM and/or the appropriate consent have been obtained to allow RHBAM to process the said personal information for the purpose.

**[This paragraph is only applicable to parent/legal guardian/next-of-kin/authorized representative of Junior Account holder(s), if any]**

- If I/we am/are providing consent as parent / legal guardian / next-of-kin / authorized representative of a junior applicant, I/we understand that the personal information of the junior applicant will be processed by RHBAM for the Purpose described above.

## NOTIS PRIVASI RHB

Saya/Kami faham bahawa RHB Asset Management Sdn Bhd ("RHBAM") akan menggunakan, mengumpul, merekod, menyimpan, berkongsi dan/atau memproses maklumat peribadi saya/kami, termasuk, tanpa had, butir-butir pengenalan saya/kami, maklumat latar belakang, data kewangan, penduduk cukai dan maklumat lain yang berkaitan dengan permohonan anda untuk produk dan / atau perkhidmatan yang

- (a) telah saya/kami berikan di dalam borang ini atau melalui sebarang perhubungan lain dengan Kumpulan Perbankan RHB (termasuk syarikat induk, syarikat-syarikat subsidiari, dan mana-mana syarikat yang berkenaan, termasuk mana-mana syarikat yang terhasi daripada mana-mana penyusunan semula, penggabungan, jualan atau pengambilalihan) atau
- (b) diperolehi daripada analisis pembayaran saya/kami dan urusan/perkhidmatan yang lain dalam Kumpulan Perbankan RHB, atau
- (c) diperolehi daripada pihak ketiga seperti majikan, pemohon bersama/pemegang-pemegang akaun, penjamin-penjamin, wakil-wakil perundangan, persatuan-persatuan berkaitan industri/kewangan, pihak-pihak berkuasa kerajaan, biro-biro kredit atau agensi-agensi pelaporan kredit, penjual-penjual, rangkaian-rangkaian sosial dan agensi-agensi pencegahan penipuan atau organisasi-organisasi lain,

untuk mana-mana dan/atau semua tujuan-tujuan yang berikut ("Tujuan"), jika berkaitan:

- (i) menyediakan produk dan/atau perkhidmatan ini dan memaklumkan saya/kami tentang sebarang perubahan penting atau perkembangan tentang ciri-ciri produk dan/atau perkhidmatan tersebut;
- (ii) mengemaskini dan menguruskan ketepatan rekod Kumpulan Perbankan RHB;
- (iii) pencegahan, pengesanan atau pendakwaan jenayah, dan pematuhan obligasi-obligasi perundangan dan peraturan;
- (iv) penilaian dan analisis termasuk pemarkahan kredit / pinjaman / risiko insurans / kelakuan, analisis pasaran dan produk dan penyelidikan pasaran;
- (v) perhubungan dan memastikan kepuasan pelanggan, yang mungkin termasuk menjalankan kajian-kajian meningkatkan kualiti produk-produk dan perkhidmatan-perkhidmatan kami, membalas kepada pertanyaan dan aduan serta untuk menyelesaikan percanggahan secara umum;
- (vi) menentukan jumlah hutang saya/kami dan pemungutan hutang yang saya/kami berhutang kepada RHBAM;
- (vii) mengekalkan sejarah kredit anda untuk rujukan semasa dan akan datang;
- (viii) membolehkan pemegang serah hak sebenar atau yang dicadangkan oleh RHBAM, atau peserta atau sub peserta RHBAM untuk menilai urusan niaga saya/kami yang bertujuan untuk menjadi subjek penyerahannya, penyertaan atau sub penyertaan;
- (ix) melindungi kepentingan Kumpulan Perbankan RHB dan tujuan sampingan atau tujuan lain yang berkenaan;
- (x) penjualan silang, pemasaran dan promosi produk dan/atau perkhidmatan Kumpulan Perbankan RHB dan rakan-rakan kongsi strategik; atau
- (xi) bagi acara-acara korporat RHBAM (termasuk acara rangkaian, pelancaran produk, dan lain-lain) / pertandingan, di mana gambar / imej saya/kami mungkin akan ditangkap dan boleh digunakan untuk penerbitan RHBAM.

Saya/Kami faham dan mengakui bahawa RHBAM perlu memproses maklumat peribadi saya/kami untuk Tujuan tersebut, tanpanya RHBAM tidak akan dapat menyediakan produk/perkhidmatan yang saya/kami minta daripada RHBAM dan untuk memaklumkan saya/kami tentang sebarang perubahan penting atau perkembangan tentang produk/perkhidmatan tersebut. Di mana saya/kami telah memberi RHBAM maklumat peribadi sensitif (khususnya, maklumat tentang kesihatan fizikal / mental saya/kami untuk permohonan bagi produk insurans / perkhidmatan), saya/kami dengan ini memberikan RHBAM persetujuan nyata saya/kami untuk memproses mengikut cara yang dinyatakan dalam Notis Privasi ini. Saya/Kami boleh menjalankan pilihan saya/kami berkenaan dengan menerima bahan-bahan pemasaran (termasuk penjualan silang, pemasaran dan promosi seperti yang dinyatakan di atas) pada bila-bila masa dengan menghubungi Khidmat Pelanggan pada nombor 03-9205 8000.

Saya/Kami faham bahawa RHBAM mungkin akan mendedahkan maklumat peribadi saya/kami (atau maklumat peribadi sensitif, jika berkenaan) kepada syarikat-syarikat lain di dalam Kumpulan Perbankan RHB, penyedia-penyedia perkhidmatan, peniaga-peniaga dan rakan-rakan kongsi strategik, pembekal-pembekal termasuk agensi-agensi pemungutan hutang, penasihat-penasihat profesional, persatuan-persatuan berkaitan industri/kewangan, biro-biro kredit atau agensi-agensi pelaporan kredit dan pencegahan penipuan, agensi-agensi kerajaan, institusi-institusi kewangan yang lain dan mana-mana ejen, pekerja, dan/atau mana-mana orang mereka, sama ada bertempat di dalam atau di luar Malaysia untuk Tujuan tersebut, jika berkenaan, tertakluk pada setiap masa kepada mana-mana undang-undang (termasuk peraturan-peraturan, piawaian, garis panduan dan / atau obligasi) yang terpakai kepada RHBAM.

Saya/Kami juga faham bahawa saya/kami boleh meminta untuk membuat pembetulan (jika maklumat peribadi saya/kami adalah tidak tepat, ketinggalan zaman, tidak lengkap, dan lain-lain), akses kepada (fi yang ditetapkan mungkin dikenakan), atau untuk menghapuskan (jika saya/kami tidak lagi mempunyai apa-apa produk/perkhidmatan yang sedia ada dengan Kumpulan Perbankan RHB) ke atas maklumat peribadi saya/kami atau menahkodkan pemrosesan itu pada bila-bila masa selepas ini dengan mengemukakan permintaan tersebut melalui pos, e-mel atau faks kepada alamat berikut:

### Khidmat Pelanggan

RHB Asset Management Sdn Bhd  
Level 8, Tower 2 & 3, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia  
Nombor Telefon: 03-9205 8000 Nombor Faks: 03-9205 8100 Email: rhbam@rhbgroup.com

Saya/Kami mengakui bahawa Kumpulan Perbankan RHB boleh mengubahsuaui atau mengemaskini Notis Privasi ini dari masa ke semasa, di mana salinannya boleh didapati di [www.rhbgroup.com](http://www.rhbgroup.com) dan anda boleh menyalurkan sebarang aduan atau pertanyaan yang saya/kami mungkin ada dengan cara yang ditunjukkan di atas.

### PENGKITTIRAFAN DAN KEBENARAN

- Dengan memberikan maklumat peribadi saya/kami berserta tandatangan, saya/kami mengizinkan RHBAM memproses maklumat peribadi saya/kami untuk mana-mana pendedahan yang diperlukan dan pemindahan maklumat peribadi saya/kami ke luar negara kepada pihak ketiga yang berkaitan, jika berkenaan.
- Saya/Kami bersetuju dengan pendedahan dan/atau pemindahan maklumat peribadi saya/kami kepada pihak ketiga yang berkaitan akibat daripada apa-apa penyusunan semula, penjualan atau pengambilalihan mana-mana syarikat dalam Kumpulan Perbankan RHB, dengan syarat bahawa penerima menggunakan maklumat peribadi saya/kami untuk Tujuan tersebut sahaja.
- Saya/Kami juga menyatakan dan menjamin bahawa saya/kami telah cukup memperoleh persetujuan individu-individu pihak ketiga (contohnya keluarga, pasangan, pihak-pihak berkaitan, pemegang kad tambahan dan/atau orang hubungan keceemasan, lain-lain) di mana maklumat peribadi mereka telah saya/kami dedahkan kepada RHBAM untuk membenarkan RHBAM membuat pemrosesan yang sama berhubung dengan Tujuan, jika berkenaan.

**[Perenggan ini hanya terpakai kepada penandatangan yang diberi kuasa/orang yang boleh dihubungi daripada pelanggan-pelanggan korporat RHBAM, jika ada]**

- Sebagai penandatangan yang diberi kuasa/orang yang boleh dihubungi daripada pelanggan korporat RHBAM, saya/kami memahami bahawa maklumat peribadi pengarah-pengarah, pemegang-pemegang saham individu, pekerja-pekerja, penandatangan lain yang diberi kuasa, penjamin individu, penyedia kesihatan individu, pembekal-pembekal dan/atau pihak-pihak berkaitan dan lain-lain, boleh dikumpul dan diproses oleh RHBAM untuk Tujuan yang dinyatakan di atas. Saya/Kami menyatakan dan menjamin bahawa saya/kami berhak untuk memberikan maklumat peribadi tersebut kepada RHBAM dan / atau persetujuan yang sesuai telah diperolehi untuk membolehkan RHBAM memproses maklumat peribadi untuk tujuan tersebut.

**[Perenggan ini hanya terpakai kepada ibu bapa/penjaga undang-undang/waris/wakil bagi pemegang-pemegang Akaun Junior, jika ada]**

- Jika saya/kami memberikan persetujuan sebagai ibu bapa/penjaga undang-undang/waris/wakil bagi pemohon kanak-kanak, saya/kami faham bahawa maklumat peribadinya akan diproses oleh RHBAM untuk Tujuan yang dinyatakan di atas.

**FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARD ("CRS")**

- **"Foreign Account Tax Compliance Act (FATCA)"** which was passed as part of the Hiring Incentives to Restore Employment (HIRE) Act, generally requires that foreign financial Institutions and certain other non-financial foreign entities report on the foreign assets held by their U.S. account holders or be subject to withholding on withholdable payments. The HIRE Act also contained legislation requiring U.S. persons to report, depending on the value, their foreign financial accounts and foreign assets.
- RHB Asset Management Sdn Bhd ("RHBAM") and its related companies and affiliates are subject to and required to, or have agreed to comply with FATCA ("FATCA Reporting Requirement"). In view of this, RHBAM is required to collect information about each of its customers under the FATCA Reporting Requirement. If you are a U.S. Person, we may need to give the Inland Revenue Board of Malaysia ("IRBM") your account information, which may then be shared with the U.S. IRS.
- **"Common Reporting Standard (CRS)"** means the Standard for Automatic Exchange of Financial Account Information ("AEOI") in Tax Matters and was developed in response to the G20 request and approved by the Organisation for Economic Co-operation and Development (OECD) Council on 15 July 2014, calls on jurisdictions to obtain information from their financial institutions and automatically exchange that information with other jurisdictions on an annual basis. It sets out the financial account information to be exchanged, the financial institutions required to report, the different types of accounts and taxpayers covered, as well as common due diligence procedures to be followed by financial institutions.
- RHBAM and its related companies and affiliates are required to comply with the CRS Rules ("CRS Reporting Requirement"). In view of this RHBAM is required to collect information about your tax residence(s) under applicable tax regulations. If you are not a tax resident of Malaysia, we may need to give the IRBM your account information, which may then be shared with other tax authorities of the CRS Participating countries.
- Each jurisdiction has its own rules for defining tax residences, and jurisdiction have provided information on how to determine if you are resident in a jurisdiction on the following website: - <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
- RHBAM are not allowed to give tax advice. Please consult your tax adviser if you require assistance in determining your tax residence(s).

**EXCHANGE CONTROL DECLARATION BY NON-MALAYSIAN RESIDENT(S)/REGISTERED ORGANISATION(S)**

<input type="checkbox"/>	I/We declare that I am/ we are non-Malaysian resident(s) and I am/ we are permanent resident(s) of _____ (Country)
<input type="checkbox"/>	We declare that we are a non-Malaysian organisation and our organisation is incorporated in _____ (Country)

**DECLARATION ON TAX RESIDENT STATUS****For Individual Applicant**

I have been briefed and I hereby confirm I understand my tax residency/FATCA/CRS requirements. I hereby declare that I am :

**For FATCA Status**

- ☐ Non-US individual with no US indicia
- ☐ \* Non-US individual with US indicia
- ☐ \* US individual

**For CRS Status**

- ☐ Tax resident in Malaysia and do not have any foreign tax residency and/or foreign indicia
- ☐ \* A foreign tax resident with foreign indicia (including Malaysia, if applicable)

**For Joint Individual Applicant**

I have been briefed and I hereby confirm I understand my tax residency/FATCA/CRS requirements. I hereby declare that I am :

**For FATCA Status**

- ☐ Non-US individual with no US indicia
- ☐ \* Non-US individual with US indicia
- ☐ \* US individual

**For CRS Status**

- ☐ Tax resident in Malaysia and do not have any foreign tax residency and/or foreign indicia
- ☐ \* A foreign tax resident with foreign indicia (including Malaysia, if applicable)

\* Please complete the Individual Self-Certification form provided.

For Corporate Applicant, please complete the Entity Self-Certification/W8-Ben-E form (if applicable) provided.

It is important for you to provide RHBAM with complete and accurate information in this form. If your circumstances change and any of the information provided in this form becomes incorrect please let RHBAM know immediately and provide an updated Self-Certification form.

**DECLARATION FOR PERSONAL DATA PROTECTION ACT 2010 (Applicable for Individual / Corporate Applicant)**

- I/We shall fully comply with the provisions of the Personal Data Protection Act 2010 ("the Act") applicable to the processing of personal data as defined in the Act and specifically, that all necessary consents have been obtained from individuals whose personal data may be disclosed to RHBAM or its representatives ("Disclosed Data") in respect of such disclosure to and processing by RHBAM and its representatives and that I/We will always furnish RHBAM or its representatives with up-to-date Disclosed Data.
- I/We shall procure any third party that processes Disclosed Data for or on behalf of me/us to agree in writing to the same terms that I/We agree in line with the Act and in this declaration.
- I/We shall immediately notify RHBAM in the event of any claim or complaint from any data subject of Disclosed Data and/or where there has been an event of non-compliance with the Act by me/us, whether discovered by me/us or forming the subject of an investigation and/or action by the relevant authorities.
- I/We shall indemnify RHB Banking Group against all proceedings, costs, expenses, liabilities or damages arising from my/our failure to comply with the Act with respect to Disclosed Data and the terms of this declaration. The remedies available to RHBAM contained in this clause are without prejudice to and in addition to any warranties, indemnities, remedy or other rights provided by law or any prior agreement.
- Based on the above declaration, I/we undertake that this declaration shall not be assigned without RHBAM's prior written consent; will be binding upon my/our servants, Unit Trust Scheme Consultant ("UTSC"), personal representatives, assigns and successor-at-law; will inure to the benefit of RHBAM and its successors and assigns; and shall supersede all prior representations, negotiations, arrangements, understandings or agreements and all other communications between RHBAM and me/us in connection with the processing and disclosure of Disclosed Data to RHBAM.

No delay or omission by RHBAM in exercising any right under this declaration will operate as a waiver of that or any other right. The covenants set out in this declaration are separate and severable and enforceable accordingly and whilst the restrictions are considered by the parties to be reasonable in all the circumstances as at the date hereof, it is acknowledged that restrictions of such a nature may be invalid because of a change in circumstances or other unforeseen reasons and accordingly, if any restrictions shall be adjudged to be void or ineffective for whatever reason but would be adjudged to be valid and effective if part of the wording thereof were deleted or the periods thereof reduced, such modifications shall be applied as may be necessary to make them valid and effective.

**DECLARATIONS AND SIGNATURES (INDIVIDUAL / CORPORATE APPLICANT)**

- I/We acknowledge that I/We have received, read and understood the relevant Information Memorandum(s) and its Supplementary(ies) (if any) for the Fund(s) to be invested in, the Terms and Conditions of this account application form and I/We undertake to be bound by them for my/our all transactions with RHB Asset Management Sdn. Bhd. ("RHBAM").
- I/We acknowledge that I/We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s).
- I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/we were a party thereto.
- I am/I/We are 18 years old and above as at the date of this application. Copy/Copies of my/our NRIC/Passport is/are enclosed (applicable to individual).
- I/We declare and represent that as at the date hereof, I am/we are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us (applicable to individual).
- I am/I/We are duly authorised officer(s) of the Corporate, and warrant that the Corporate has the power and capacity to execute this account application form and undertake transactions involving the Fund(s). Attached is a certified true copy of the Corporate's list of authorised signatories (applicable to corporate).
- I/We declare that I am/ we are neither engaged in any unlawful activity nor are my/our monies obtained from any illegal source or related to any illegal activity.
- I/We, as directors(s) of the Corporate do hereby declare that the Corporate is a legally incorporated Corporate. Copy of my/our Certificate of Incorporation is enclosed (applicable to corporate).
- I/We declare that I am/ we are in compliance and undertake that I/we will continue to comply with all applicable laws and regulations.
- I/We undertake to provide RHBAM with all information as it may require for the purpose of and in connection with completing the account application form, including but not limited to, my/our/the Corporate and its group of companies' information on financial position, condition, operation, business or prospect, where applicable.
- I/We acknowledge that I/we shall keep RHBAM informed of any changes of the information stated in this account application form.
- I/We undertake to provide such information and documents that RHBAM may require for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLATFPUAA 2001").
- In the absence of written explicit instructions, I/We acknowledge that instructions must be given by both of us (for joint application only).
- (Distribution Instruction as per Purchase/Switch Form) Depending on the Fund invested and subject to each respective Fund's Information Memorandum(s) and its Supplementary(ies) (if any), income distribution will either be reinvested into further units in the relevant fund or be paid out.
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this account application form.
- I/We hereby agree to indemnify RHBAM against all actions, cost (including legal costs incurred), suits, proceedings, claims, damages, expenses, losses, liabilities, claims and demands which may be suffered by RHBAM as a result of any inaccuracy of the declarations herein.
- We, the undersigned and representatives for the Corporate, do declare and represent that as at the date hereof, the Corporate is not wound up, no petition for winding-up has been filed against the Corporate nor any receiver has been appointed over any of its assets (applicable to corporate).
- If there are any changes to my/our risk tolerance profile, I/we hereby agree to notify and furnish RHBAM with the updated Investor Suitability Assessment Form.

**DECLARATIONS AND SIGNATURES (INDIVIDUAL / CORPORATE APPLICANT) (Continued)**

- Pursuant to the requirements of the Capital Market and Services Act 2007, I/we further confirm that I/we/the Company am/are/is a sophisticated investor as defined in the Information Memorandum and thus, I/we/the Company am/are/is qualified to invest in the Wholesale Fund.
- I/We consent to and authorise RHB Banking Group (which shall include RHB Bank, its subsidiaries and associated companies), its respective directors, officers, employees and agents to disclose, share and/or verify information or documents pertaining to my/our company's information and data maintained with RHBAM, affairs, account(s), facility(ies), directors and/or substantial shareholders to and/or with the following parties including, without limitation:
  - any companies within RHB Banking Group, whether within or outside Malaysia for any purpose including, without limitation to cross-selling, marketing and promotions of Services of the RHB Banking Group;
  - any authorities/regulators/parties as may be authorised by law or regulations to obtain such information or by court of law;
  - any party(ies) providing security for purposes of facility(ies) granted to me/us; agents of the RHB Banking Group, including without limitation, vendors, merchants and/or third party service providers by the RHB Banking Group wherever applicable;
  - auditors, legal counsels and/or other professional advisers in relation to the provision of services by the RHB Banking Group pursuant to this engagement, or in connection with the preparation of any facility or security documents, if applicable, or any action or proceeding for the recovery of monies due and payable by me/us, wherever applicable;
  - credit bureaus and/or credit reporting agencies, fraud prevention agencies, debt collection agencies and industry/financial related associations; and
  - any potential assignee or other person proposing to enter into any contractual arrangement which requires the disclosure of such information.
- I/We declare that I/we have read and clearly understood the RHB Privacy Notice / Privasi Notis RHB in relation to the protection of personal data and hereby inscribe my / our signature as an attestation of my / our agreement and acknowledgement of the RHB Privacy Notice / Privasi Notis RHB.
- If there are any updates/changes that affect my/our tax residency/FATCA/CRS status, I/we further hereby agree to notify and furnish RHBAM with relevant foreign Tax Identification Number (TIN) and/or documentary evidence within 30 days of such change.
- I/We consent to and authorise RHBAM to perform any of the following, if applicable:
  - Withhold any applicable payments in the account(s);
  - Report/discard/exchange any information/ documents relating to my/our accounts/affairs to Inland Revenue Board Malaysia or any foreign tax authorities/inland revenue authorities in compliance with any tax requirements;
  - Terminate (with prior notice of 7 Business Days) my/our contractual relationship(s) with RHBAM.

**BANK ACCOUNT DETAILS (MANDATORY FOR E-PAYMENT OF INCOME DISTRIBUTION / REDEMPTION) - MYR Class Fund Only**

Bank Name																								
Account Holder Name																								
Bank Account No.																								
Account Type	<input type="checkbox"/> Savings Account												<input type="checkbox"/> Current Account											
Account Ownership	<input type="checkbox"/> Single												<input type="checkbox"/> Joint											

**Note:**

- Only one MYR currency bank account will be maintained in RHBAM's record at any one time.
- Payment to third party is strictly not allowed.
- For joint investment, bank account provided must consist of both applicants name and/or either one of the applicant's name as stated in this account application form.

**BANK ACCOUNT DETAILS (MANDATORY FOR E-PAYMENT OF INCOME DISTRIBUTION / REDEMPTION) - Foreign Currency Class Fund Only**

Bank Name																								
Account Holder Name																								
Bank Account No.																								
Account Type	<input type="checkbox"/> Savings Account												<input type="checkbox"/> Current Account											
Account Ownership	<input type="checkbox"/> Single												<input type="checkbox"/> Joint											

**Note:**

- Only one foreign currency bank account will be maintained in RHBAM's record at any one time.
- Payment to third party is strictly not allowed.
- For joint investment, bank account provided must consist of both applicants name and/or either one of the applicant's name as stated in this account application form.

**ALL APPLICANTS MUST SIGN THIS ACCOUNT APPLICATION FORM**

Individual Applicant / Authorised Signatory (ies) <b>Name :</b> _____ <b>Date :</b> _____		Joint Individual Applicant / Authorised Signatory (ies) <b>Name :</b> _____ <b>Date :</b> _____		Company Stamp / Common Seal _____	For joint application, please tick (✓) account operating mode for future transactions. <input type="checkbox"/> Individual Applicant Only <input type="checkbox"/> Joint Individual Applicant Only <input type="checkbox"/> Either Applicant to sign <input type="checkbox"/> Both Applicants to sign

Note: Pre-signed account application form is strictly prohibited as provided under FIMM's Code of Ethics and Rules of Professional Conduct.

**FOR UTSC/DISTRIBUTOR USE ONLY****FOR OFFICE USE ONLY**

RHBAM / Distributor / Branch Code / Stamp Name of Staff / UTSC / Distributor Staff / UTSC Code Signature of Staff / UTSC / Distributor FIMM Code	Account No. _____ Transaction Sequence No. _____ Price of Transaction (RM / relevant currency) _____
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## TERMS AND CONDITIONS

You are advised to read and understand the relevant Information Memorandum(s) and its Supplementary(ies) (if any) and deed(s) which shall be made available upon request before investing in the fund(s).

- 1 Minimum Investment
  - Initial and subsequent investment must be for a minimum amount stated in the relevant Information Memorandum(s) and its Supplementary(ies) (if any).
- 2 Individual Applicant (Main Applicant)
  - Applicant must be 18 years old and above.
  - Please enclose a photocopy of your identity card or passport.
- 3 Joint Individual Applicant
  - Please enclose a photocopy of your identity card or passport.
  - If aged 18 years old and above, he/she is also required to sign the application form.
  - In the case of death of a joint-holder, the surviving holder will be the only person recognised by the Manager and the Trustee as having any title to or interest in the units held.
  - In the absence of written explicit instructions, I/we acknowledge that instructions must be given by both of us.
  - The Individual Applicant (Main Applicant) are held liable should there be any discrepancy in the instruction and/or information given by the Joint Individual Applicant to RHBAM.
- 4 Corporate Applicant
  - Please enclose a copy of the Memorandum and Articles of Association, Company's latest audited accounts, list of Authorised Signatories and Specimen Signatures.
  - For a Corporate, the Common Seal or the Company stamp will have to be affixed. If the Company stamp is used, an Authorised Officer must sign and state his/her representative capacity.
  - Certified True Copy (by company secretary, if applicable) of the Board Resolution, Form 11, Form 9, Form 13 (if applicable), Form 24, Form 44, Form 49, and latest Annual Return / latest Audited Financial Statement.
- 5 Rights of RHBAM as the Manager
  - RHBAM reserves the right to accept or reject any application in whole or in part thereof and reject any account application form which is not completed in full and supported by the requested documents and payments.
- 6 Customer Care
  - If you require further information or clarification, please contact our Customer Service for assistance.
- 7 Electronic Services
  - RHBAM may from time to time provide to the applicant its electronic services such as website, computer, telephone, mobile telephone services or systems (including but not limited to services or information accessible through RHBAM's proprietary software or mobile technology) ("Electronic Services"). The applicant will be automatically enrolled to receive fund's reports and notices in electronic form. If the applicant wishes to receive the reports and notices in printed copies, the applicant may opt out from the Electronic Services by informing RHBAM in writing.
  - RHBAM may engage in any services include as part of such Electronic Services from time to time for the purpose of:
    - (i) viewing information and details relating to the applicant's – viewing and/or printing of account information such as fund reports, statements, advices of transactions and/or other communications in electronic form;
    - (ii) transmitting Orders to RHBAM for execution;
    - (iii) access to, including but not limited to key market indicators and real-time quotes;And if the applicant does not wish to utilise the Electronic Services, the applicant may choose to opt out by informing RHBAM in writing.
  - RHBAM shall be entitled to
    - (i) modify, suspend or terminate the operation of the Electronic Services; or
    - (ii) suspend or terminate the Customer's access to or use of the Electronic Servicesat any time with or without notice.

For avoidance of doubt, any modification, cancellation, termination or suspension of the Electronic Services shall not entitle any Customer to any claims against RHBAM or compensation arising from any losses or damages suffered or incurred by the Customer as a direct or indirect result of the act of modification, cancellation, termination or suspension of the Electronic Services.

## 8 Notices

All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant. Unless due to wilful default or negligence of the Manager, the Manager shall not be responsible for any inaccuracy, interruption, error, delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. The Manager shall not be liable for any direct or indirect consequential losses arising from the foregoing.

## DEFINITION AND INTERPRETATION

- **"Controlling Person"** are the natural person(s) who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("Passive NFE") then a Financial Institution is required to determine whether or not these Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" described in Recommendation 10 and the Interpretative Note on Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership). Under the CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(ies) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

In the case of a legal arrangement other than a trust, Controlling Person(s) means persons in equivalent or similar positions.
- **"Entity"** means a legal person or a legal arrangement, such as corporation, organisation, partnership, trust or foundation.
- **"Participating Jurisdiction"** means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.
- **"Reportable Jurisdiction"** is a jurisdiction with which an obligation to provide financial account information is in place.
- **"Tax Resident"** refers to the definition of tax residence by each participating jurisdiction as provided on <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#id.en.347760>. In general, you will find that tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal mentioned above.
- **"TIN"** (including "functional equivalent") means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers>.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for Entities, a Business/company registration code/number.
- **"US Person"** refers to a US Person as defined in Section 7701 (a) (30) of the Internal Revenue Code and includes an individual who is a citizen or resident of the US.
- **"Trustee"** refers to the Trustee of the Fund as stated in the Information Memorandum(s) and its Supplementary(ies) (if any).



## DETAILS OF SWITCHING APPLICATION

**Note:**

- If switching is allowed, you are required to adhere to the minimum switch amount. A switching fee or difference in Sales Charge between switching funds, where applicable, on the amount to be switched shall be imposed and netted off from the value to be switched.
- Please ensure you maintain the minimum amount required in the original Fund as stated in the relevant Information Memorandum(s) and its Supplementary(ies) (if any).
- For switching, if full, all units in the account shall be switched.

SWITCH FROM		
Fund Name	*Currency	Units
1		
2		
3		

  

SWITCH TO		
Fund Name	*Currency	**Distribution Instruction
1		<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit into bank account
2		<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit into bank account
3		<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit into bank account

\* specify the currency acronym eg. RM etc

\*\*Bank Account details for income distribution to be credited will be as per existing details maintained with RHBAM. If there is any changes to the Bank Account details, kindly provide the latest details by filling up the Updating of Client's Particulars Form.

## LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust fund with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financing circumstances. You should be aware of the risk, which would include the following:-

- The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money that you put in as deposit or down payment) the greater the loss or gain on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the prescribed time, your units may be sold towards the settlement of your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are incurred instead. Whether you eventually realise a gain or incur loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.
- This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take the loan. If you have doubts in respect of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

I / We acknowledge that I / We have read and understood the contents of the investment Loan Financing Risk Disclosure Statement. I / We do declare and represent that as at the date hereof, I / We am / are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us. With the completion of this purchase/switch form, it constitutes that I / we have read, understood and agreed to be bound by the notes, terms and conditions stated in this purchase/switch form. I / We also accept and acknowledge that RHB Asset Management Sdn Bhd has absolute discretion to reply on facsimile confirmation from me and undertake to indemnify and hold harmless RHB Asset Management Sdn Bhd, its employees and UTSC at all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.

## DECLARATIONS AND SIGNATURES

- I / We acknowledge that I / We have received, read and understood the relevant Information Memorandum(s) and its Supplementary (ies) (if any) for the Fund(s) to be invested in, the Terms and Conditions of this purchase/switch form and I/We undertake to be bound by them for my / our initial and subsequent transactions with RHB Asset Management Sdn Bhd ("RHBAM").
- I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/We were a party thereto.
- I/We acknowledge that I/We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s).
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application.
- Pursuant to the requirements of the Capital Market and Services Act 2007, I/we further confirm that I/we/the Company am/are/is a sophisticated investor as defined in the Information Memorandum and thus, I/we/the Company am/are/is qualified to invest in the Wholesale Fund.
- I/We hereby agree to apply the risk tolerance profile maintained with RHBAM for my subscription and/or switching/transfer. And if there are any changes to my/our risk tolerance profile, I/we will notify and furnish RHBAM with the updated Investor Suitability Assessment Form.
- With the completion and execution of this purchase/switch form, I/We, the abovenamed unitholder(s)/member(s), agree that all facsimile and/or electronic mails ("emails") confirmation(s) are conclusive and irrevocable as to its terms and contents. I/We further acknowledge and accept that RHBAM:
  - is hereby authorised and has absolute discretion to rely on facsimile and/or emails confirmation(s) from me/us;
  - shall not be responsible or incur any liability(ies) to me/us arising out of or in connection with RHBAM acting in accordance with the facsimile and/or emails confirmation(s), notwithstanding any error, misunderstanding, fraud or lack of clarity in the terms of such instruction(s), and whether or not such facsimile and/or emails confirmation(s) was made or given with or without the authorisation of the abovenamed unitholder(s)/member(s); and
  - I/We further undertake to indemnify and hold harmless RHBAM, its employees and its Unit Trust Scheme Consultants against all actions, costs (including any legal cost incurred), suits, proceeding, damages, expenses, losses, liabilities, claims and demands arising out of (whether directly or indirectly or in connection with) any inaccuracy of the declarations and inaccurate information provided herein.

## TERMS AND CONDITIONS

### Electronic Services

- RHBAM may from time to time provide to the applicant its electronic services such as website, computer, telephone, mobile telephone services or systems (including but not limited to services or information accessible through RHBAM's proprietary software or mobile technology) ("Electronic Services"). The applicant will be automatically enrolled to receive fund's reports and notices in electronic form. If the applicant wishes to receive the reports and notices in printed copies, the applicant may opt out from the Electronic Services by informing RHBAM in writing.
- RHBAM may engage in any services include as part of such Electronic Services from time to time for the purpose of :
  - viewing information and details relating to the applicant's – viewing and/or printing of account information such as fund reports, statements, advices of transactions and/or other communications in electronic form;
  - transmitting Orders to RHBAM for execution;
  - access to, including but not limited to key market indicators and real-time quotes;And if the applicant does not wish to utilise the Electronic Services, the applicant may choose to opt out by informing RHBAM in writing.
- RHBAM shall be entitled to
  - modify, suspend or terminate the operation of the Electronic Services; or
  - suspend or terminate the Customer's access to or use of the Electronic Services.at any time with or without notice.

For avoidance of doubt, any modification, cancellation, termination or suspension of the Electronic Services shall not entitle any Customer to any claims against RHBAM or compensation arising from any losses or damages suffered or incurred by the Customer as a direct or indirect result of the act of modification, cancellation, termination or suspension of the Electronic Services.

## ALL APPLICANTS MUST SIGN THIS PURCHASE/SWITCH FORM

<div>Individual Applicant/Authorised Signatory (ies)</div> <div>Name:</div> <div>Date:</div>	<div>Joint Individual Applicant/Authorised Signatory (ies)</div> <div>Name:</div> <div>Date:</div>	<div>Company Stamp / Common Seal</div>
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Note: Pre-signed purchase/switch form is strictly prohibited as provided under FIMM's Code of Ethics and Rules of Professional Conduct.

## **SUPPLEMENTARY INFORMATION MEMORANDUM**

This supplementary information memorandum is dated 16 December 2016, which must be read together with the information memorandum dated 15 November 2016 for:

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### **RHB GLOBAL MACRO OPPORTUNITIES FUND**

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#### **Manager**

RHB Asset Management Sdn Bhd (174588-X)  
(A member of RHB Banking Group)

#### **Trustee**

HSBC (Malaysia) Trustee Berhad (1281-T)  
(A member of the HSBC Group)

**INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS SUPPLEMENTARY INFORMATION MEMORANDUM DATED 16 DECEMBER 2016 WHICH IS TO BE READ TOGETHER WITH THE INFORMATION MEMORANDUM DATED 15 NOVEMBER 2016. IF IN DOUBT, PLEASE OBTAIN PROFESSIONAL ADVICE BEFORE SUBSCRIBING TO UNITS OF THE RHB GLOBAL MACRO OPPORTUNITIES FUND.**

**UNITS OF THE RHB GLOBAL MACRO OPPORTUNITIES FUND CAN ONLY BE SOLD TO SOPHISTICATED INVESTORS.**

### **Responsibility Statement**

This supplementary information memorandum dated 16 December 2016 (“Supplementary Information Memorandum”) in relation to the RHB Global Macro Opportunities Fund (“Fund”) has been seen and approved by the directors of RHB Asset Management Sdn Bhd and they collectively and individually accept full responsibility for the accuracy of all information contained herein and confirm, having made all enquiries which are reasonable in the circumstances, that to the best of their knowledge and belief, there are no other facts omitted which would make any statement herein misleading.

### **Statements of Disclaimer**

The Securities Commission Malaysia will not be liable for any non-disclosure on the part of RHB Asset Management Sdn Bhd and takes no responsibility for the contents of this Supplementary Information Memorandum and the information memorandum dated 15 November 2016 (“Principal Information Memorandum”) in relation to the Fund, makes no representation as to its accuracy or completeness and expressly disclaims any liability whatsoever for any loss howsoever arising from, or in reliance upon the whole or any part of the contents of this Supplementary Information Memorandum and the Principal Information Memorandum.

Additionally, prospective investors should not treat the contents of this Supplementary Information Memorandum and its Principal Information Memorandum as advice relating to investment, law or taxation and must rely on their own legal counsel, accountants and/or other professional advisers as to legal, tax and related matters concerning the Fund and investments therein.

This Supplementary Information Memorandum and its Principal Information Memorandum do not constitute an offer of JPMorgan Investment Funds – Global Macro Opportunities Fund in Malaysia. JPMorgan Investment Funds – Global Macro Opportunities Fund is a fund which RHB Global Macro Opportunities Fund may invest. You understand and acknowledge an investment into RHB Global Macro Opportunities Fund does not create any legal or contractual nexus between you and JPMorgan Chase & Co. or any of their portfolio managers, employees, affiliates or representatives. JPMorgan Chase & Co. is in no way liable for the consequences of an investment in RHB Global Macro Opportunities Fund.

**INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS SUPPLEMENTARY INFORMATION MEMORANDUM DATED 16 DECEMBER 2016 WHICH IS TO BE READ TOGETHER WITH THE INFORMATION MEMORANDUM DATED 15 NOVEMBER 2016.**

**A. Repurchase Charge**

The purpose of this Supplementary Information Memorandum is to rectify the disclosure of the repurchase charge of the Fund, wherever it appears in the Principal Information Memorandum, whereby it is hereby amended as follows:

<b>Repurchase Charge</b>	<p>1.00% of the redemption amount.</p> <p>Repurchase charge is payable by a Unit Holder if he redeems his investments within the 6 months period commencing from the last day of the initial offer period (i.e. from 21 June 2016 to 20 December 2016). After the 6 months period i.e. from 21 December 2016, no repurchase charge will be levied.</p> <p>All repurchase charge paid by the Unit Holders will be retained by the Manager.</p> <p>Note: The repurchase charge herein is a penalty in nature and is not subject to GST. However, the Manager reserves the right to charge GST without prior notification when directed to do so by the Royal Malaysian Customs or when there is a change in the interpretation of the nature of repurchase charge by the Royal Malaysian Customs.</p>
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**B. Principal Information Memorandum Remains in Full Force Subject to Amendments in the Supplementary Information Memorandum.**

Subject only to the variations herein contained and such other alterations as may be necessary to make the Principal Information Memorandum consistent with this Supplementary Information Memorandum, the Principal Information Memorandum shall remain in full force and effect and shall be read and construed and be enforceable as if the terms of this Supplementary Information Memorandum were inserted therein by way of addition or substitution as the case may be.

**INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS SUPPLEMENTARY INFORMATION MEMORANDUM DATED 16 DECEMBER 2016 WHICH IS TO BE READ TOGETHER WITH THE INFORMATION MEMORANDUM DATED 15 NOVEMBER 2016.**

This Form should not be circulated unless accompanied by the relevant Information Memorandum(s). Investor(s) should read and understand the contents of the relevant Information Memorandum(s) and Supplementary Information Memorandum(s) if any before completing this form. Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For 1st time Investor(s), this Form is required to be completed.

### PARTICULARS OF INDIVIDUAL APPLICANT / CORPORATE APPLICANT

You MUST be 18 years old and above as at the date of this application. Please provide a copy of your NRIC or Passport.

Name of Individual/ Corporate Applicant <small>(as in NRIC/Passport No./Certificate of Incorporation)</small>			
NRIC No.(old)/Passport No./Company Registration No.		NRIC No. (new) - -	
(To be completed if Individual Applicant)		Country of Birth	
Date of Birth (DD/MM/YYYY) - -			
Source of Income	<input type="checkbox"/> Employed (Permanent/Contract) <input type="checkbox"/> Own business <input type="checkbox"/> Savings/ Inheritance <input type="checkbox"/> Others (please specify)		
Employer's/Company's Name			
Employer's/Company's Tel No.		Occupation/ Designation	
Employer's/Company's Office <input type="checkbox"/> In Malaysia <input type="checkbox"/> Outside Malaysia (please specify)			
Nature of Business of Applicant/ Applicant's Employer	<input type="checkbox"/> Financial/Banking/Investment <input type="checkbox"/> Legal/Tax <input type="checkbox"/> Telecommunications <input type="checkbox"/> Hotel/ Restaurant <input type="checkbox"/> Consultancy <input type="checkbox"/> Government/ Government related <input type="checkbox"/> Medical/Health/Science <input type="checkbox"/> Education <input type="checkbox"/> Real Estate/ Property <input type="checkbox"/> Manufacturing <input type="checkbox"/> Construction <input type="checkbox"/> Others (please specify)		
Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced	No. of Dependents (please indicate if any)	
Nationality	<input type="checkbox"/> Malaysian <input type="checkbox"/> Others (please specify)	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Bumiputera Status	<input type="checkbox"/> Yes <input type="checkbox"/> No	Race	<input type="checkbox"/> Malay <input type="checkbox"/> Chinese <input type="checkbox"/> Indian <input type="checkbox"/> Others
Education Level	<input type="checkbox"/> Primary <input type="checkbox"/> Secondary <input type="checkbox"/> STPM / Diploma /PreU <input type="checkbox"/> Degree <input type="checkbox"/> Post Graduate <input type="checkbox"/> Others		
Annual Income	<input type="checkbox"/> Up to RM18,000 <input type="checkbox"/> RM18,001 - RM36,000 <input type="checkbox"/> RM36,001 - RM50,000 <input type="checkbox"/> RM50,001 - RM96,000 <input type="checkbox"/> RM96,001 - RM180,000 <input type="checkbox"/> RM180,001 - RM240,000 <input type="checkbox"/> RM240,001 and above		
Mother's Maiden Name			
Individual Applicant Email Address			

By providing your email address to RHB Asset Management Sdn Bhd ("RHBAM"), you have consented to receive communications and/or information from RHBAM relating to your investment via email. Notices delivered via email to applicant are deemed sent and received on the date such email is sent.

(To be completed if Corporate Applicant)

Date of Incorporation (DD/MM/YYYY) - -		Country of Incorporation	
Nature of Business of Applicant/Applicant's Employer	<input type="checkbox"/> Financial/Banking/Investment <input type="checkbox"/> Legal/Tax <input type="checkbox"/> Telecommunications <input type="checkbox"/> Hotel/ Restaurant <input type="checkbox"/> Consultancy <input type="checkbox"/> Government/ Government related <input type="checkbox"/> Medical/Health/Science <input type="checkbox"/> Education <input type="checkbox"/> Real Estate/ Property <input type="checkbox"/> Manufacturing <input type="checkbox"/> Construction <input type="checkbox"/> Others (please specify)		
Company Status	<input type="checkbox"/> Bumiputra Controlled <input type="checkbox"/> Non-Bumiputra Controlled <input type="checkbox"/> Non-Malaysian Controlled		
Company Source of Income	<input type="checkbox"/> Disposal of non-core business/asset/investments <input type="checkbox"/> Fund raising exercise such as right issue <input type="checkbox"/> Cash in hand/surplus funds/working capital		

**Contact Person ( 1 )**

Designation	Department	
Tel No.	ext	Fax No.
Office Email Address		

**Contact Person ( 2 )**

Designation	Department	
Tel No.	ext	Fax No.
Office Email Address		

Please refer to clause 4 of the Terms and Conditions to ascertain the documents required to be submitted with this application.

### PARTICULARS OF JOINT INDIVIDUAL APPLICANT

Name as in NRIC/Passport			
NRIC No.(old)/Passport No./Birth Certificate No.		NRIC No. (new) - -	
Date of Birth (DD/MM/YYYY) - -		Country of birth	
Nationality	<input type="checkbox"/> Malaysian <input type="checkbox"/> Others (please specify)	Bumiputera Status	<input type="checkbox"/> Yes <input type="checkbox"/> No
Occupation	Relationship to Individual Applicant <input type="checkbox"/> Parent <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Sibling <input type="checkbox"/> Others		
Nature of Business of Applicant/ Applicant's Employer	<input type="checkbox"/> Financial/Banking/Investment <input type="checkbox"/> Legal/Tax <input type="checkbox"/> Telecommunications <input type="checkbox"/> Hotel/ Restaurant <input type="checkbox"/> Consultancy <input type="checkbox"/> Government/ Government related <input type="checkbox"/> Medical/Health/Science <input type="checkbox"/> Education <input type="checkbox"/> Real Estate/ Property <input type="checkbox"/> Manufacturing <input type="checkbox"/> Construction <input type="checkbox"/> Others (please specify)		

### APPLICANT'S CONTACT DETAILS

Permanent Address <small>(as in NRIC/Passport No./Certificate of Incorporation)</small>			
Post Code	Town / City		
State	Country		
Correspondence/ Mailing Address <small>(complete if different)</small>			
Post Code	Town / City		
State	Country		
Tel No.	Country Code	Area Code	Residence / House
			ext
			Mobile
			Fax No.

### FOR UTC/DISTRIBUTOR USE ONLY

RHBAM/Distributor Branch Code/ Stamp	Name of Staff/ UTC/Distributor Staff/UTC Code	Signature of Staff/ UTC/Distributor FIMM Code
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### FOR OFFICE USE ONLY

Account No.	Trans. Sequence No.	Price of Transaction (RM/relevant currency)
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## INVESTMENT OBJECTIVE & EXPERIENCE

### Investment Objective

- ☐ Capital Growth ☐ Regular Income ☐ Capital Protection ☐ Retirement  
☐ Education ☐ Wealth Accumulation

### Investment Time Frame

- ☐ Long Term (> 5 years) ☐ Medium (3-5 years) ☐ Short Term (< 3 years)

### Investment Experience

- ☐ Unit Trust \_\_\_\_\_ year(s) ☐ Trading on Bursa Malaysia \_\_\_\_\_ year(s)  
☐ Futures / Options \_\_\_\_\_ year(s) ☐ Others \_\_\_\_\_ year(s)  
☐ No experience

## EXCHANGE CONTROL DECLARATION BY NON-MALAYSIAN RESIDENT(S)/REGISTERED ORGANISATION(S)

☐ I/We declare that I am/ we are non-Malaysian resident(s) and I am/ we are permanent resident(s) of \_\_\_\_\_  
(Country)

☐ We declare that we are a non-Malaysian organisation and our organisation is incorporated in \_\_\_\_\_  
(Country)

## DECLARATIONS AND SIGNATURES

### INDIVIDUAL APPLICANT

- I/We acknowledge that I/We have received, read and understood the relevant Information Memorandum(s) for the Fund(s) to be invested in, the Terms and Conditions of this Form and I/We undertake to be bound by them for my/our initial and subsequent transactions with RHB Asset Management Sdn. Bhd. ("RHBAM").
- I/We declare that I am/ We are in compliance and undertake that I/We will comply with all applicable laws and regulations.
- I/We undertake to provide RHBAM with all information as it may require for the purpose of and in connection with completing the Account Application Form, including but not limited to, my/our information on financial position, condition or prospect.
- I/We acknowledge that I/We shall keep RHBAM informed of any change of my/our particulars as stated in this Account Application Form and/or of any material facts that will, direct or indirectly, affect my/our financial position(s), condition(s) or prospect(s).
- I/We undertake to provide such information and documents that RHBAM may reasonably require for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering and Counter Financing Terrorism Act 2001 ("AML/CFTA").
- (For joint application only) In the absence of written explicit instructions, I/We acknowledge that instructions must be given by both of us.
- I/We acknowledge that I/We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s).
- I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/ We were a party thereto.
- I am/ We are 18 years old and above as at the date of this application. Copy/Copies of my/our NRIC/ Passport is/are enclosed.
- I/We do declare and represent that as at the date hereof, I/We am/are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.
- I/We declare that I am/ We are neither engaged in any unlawful activity nor are my/our monies obtained from any illegal source or related to any illegal activity.

### CORPORATE APPLICANT

- I/We acknowledge that I/We have received, read and understood the relevant Information Memorandum for the Fund(s) to be invested in, the Terms and Conditions of this Form and I/We undertake to be bound by them for my/our initial and subsequent transactions with RHB Asset Management Sdn. Bhd. ("RHBAM").
- I/We, as directors(s) of the Corporation do hereby declare that the Corporation is a legally incorporated Corporation. Copy of my/our Certificate of Incorporation is enclosed.
- I/We, hereby declare and represent that as at this date, the Corporation is not wound up nor has there been any winding-up petition presented to the Corporation.
- I/We declare that I am/ We are neither engaged in any unlawful activity nor are my/our monies obtained from any illegal source or related to any illegal activity.
- I/We undertake to provide RHBAM with all information as it may require for the purpose of and in connection with completing the Account Application Form, including but not limited to, the Corporation and its group of companies' information on financial position, condition, operation, business or prospect.
- I/We acknowledge that I/We shall keep RHBAM informed of any change of the information stated in this Account Application Form and/or of any material facts that will, direct or indirectly, affect the Corporation and its group of companies' financial position, condition, operation, business or prospect.
- I/We do declare and represent that as at the date hereof, I/We am/are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.
- I am/ We are duly authorised officer(s) of the Corporation, and warrant that the Corporation has the power and capacity to enter into this agreement and undertake transactions involving the Fund(s). Attached is a certified true copy of the Corporation's list of authorised signatories.
- I/We undertake to provide such information and documents that RHBAM may reasonably require for the purpose of due diligence/enhanced due diligence as required under the AML/CFTA.
- (Distribution Instruction as per Purchase/Switch Form) Depending on the Fund invested and subject to each respective Fund's Information Memorandum(s), income distribution will either be reinvested into further units in the relevant fund or be paid out.
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application.
- I/We hereby agree to indemnify RHBAM against all actions, suits, proceedings, claims, damages and losses which may be suffered by RHBAM as a result of any inaccuracy of the declarations herein.
- We, the abovenamed authorised signatories and representatives for the Company, do declare and represent that as at the date hereof, no petition for winding-up has been filed against the Company nor any receiver has been appointed over any of its assets. Pursuant to the requirements of the Capital Market and Services Act 2007, we further confirm that the Company is a sophisticated investor as defined in the Information Memorandum and thus, the Company is qualified to invest in the Wholesale Fund.

### DECLARATION FOR PERSONAL DATA PROTECTION ACT 2010 (Applicable for Individual/Corporate Applicant)

- I/We shall fully comply with the provisions of the Personal Data Protection Act 2010 ("the Act") applicable to the processing of personal data as defined in the Act and specifically, that all necessary consents have been obtained from individuals whose personal data may be disclosed to RHBAM or its representatives ("Disclosed Data") in respect of such disclosure to and processing by RHBAM and its representatives and that I/We will always furnish RHBAM or its representatives with up-to-date Disclosed Data.
- I/We shall procure any third party that processes Disclosed Data for or on behalf of me/us to agree in writing to the same terms that I/We agree in line with the Act and in this declaration.
- I/We shall immediately notify RHBAM in the event of any claim or complaint from any data subject of Disclosed Data and/or where there has been an event of non-compliance with the Act by me/us, whether discovered by me/us or forming the subject of an investigation and/or action by the relevant authorities.
- I/We shall indemnify RHB Banking Group against all proceedings, costs, expenses, liabilities or damages arising from my/our failure to comply with the Act with respect to Disclosed Data and the terms of this declaration. The remedies available to RHBAM contained in this clause are without prejudice to and in addition to any warranties, indemnities, remedy or other rights provided by law or any prior agreement.
- Based on the above declaration, I/We undertake that this declaration shall not be assigned without RHBAM's prior written consent; will be binding upon my/our servants, agents, personal representatives, assigns and successor-at-law; will inure to the benefit of RHBAM and its successors and assigns; and shall supersede all prior representations, negotiations, arrangements, understandings or agreements and all other communications between RHBAM and me/us in connection with the processing and disclosure of Disclosed Data to RHBAM.

No delay or omission by RHBAM in exercising any right under this declaration will operate as a waiver of that or any other right. The covenants set out in this declaration are separate and severable and enforceable accordingly and whilst the restrictions are considered by the parties to be reasonable in all the circumstances as at the date hereof, it is acknowledged that restrictions of such a nature may be invalid because of a change in circumstances or other unforeseen reasons and accordingly, if any restrictions shall be adjudged to be void or ineffective for whatever reason but would be adjudged to be valid and effective if part of the wording thereof were deleted or the periods thereof reduced, such modifications shall be applied as may be necessary to make them valid and effective.

## ACCOUNT DETAILS FOR INCOME DISTRIBUTION / REDEMPTION

### For MYR Currency

Bank Name : \_\_\_\_\_  
Account Holder Name : \_\_\_\_\_  
Account No. : \_\_\_\_\_  
Account Type : ☐ Savings ☐ Current  
Account Ownership : ☐ Single ☐ Joint

### For Foreign Currency

Bank Name : \_\_\_\_\_  
Account Holder Name : \_\_\_\_\_  
Account No. : \_\_\_\_\_  
Account Type : ☐ Savings ☐ Current  
Account Ownership : ☐ Single ☐ Joint

## ALL APPLICANTS MUST SIGN THIS FORM

Applicant / Authorised Signatory (ies)  
Date

Joint Applicant / Authorised Signatory (ies)  
Date

Company Stamp / Common Seal

For **Joint Application**, please tick (✓) account operating mode for future transactions

- ☐ Applicant Only  
☐ Joint Applicant Only  
☐ Either Applicant to sign  
☐ Both Applicants to sign

## TERMS AND CONDITIONS

You are advised to read and understand the relevant Information Memorandum(s) and deed(s) which shall be made available upon request before investing in the fund(s).

### 1. MINIMUM INVESTMENT

- Initial and subsequent investment must be for a minimum amount stated in the relevant Information Memorandum(s).

### 2. INDIVIDUAL APPLICANT

- Applicant must be 18 years old and above.
- Please enclose a photocopy of your identity card or passport.

### 3. JOINT INDIVIDUAL APPLICANT

- Please enclose a photocopy of your identity card or passport.
- If aged 18 years old and above, he/she is also required to sign the application form.
- In the case of death of a joint-holder, the surviving holder will be the only person recognised by the Manager and the Trustee as having any title to or interest in the units held.
- In the absence of written explicit instructions, I/We acknowledge that instructions must be given by both of us.

### 4. CORPORATE APPLICANT

- Please enclose a copy of the Memorandum and Articles of Association, Company's latest audited accounts, list of Authorised Signatories and Specimen Signatures.
- For a corporation, the Common Seal or the Company stamp will have to be affixed. If the Company stamp is used, an Authorised Officer must sign and state his/her representative capacity.
- Certified True Copy (by company secretary, if applicable) of the Board Resolution, Form 11, Form 9, Form 13 (if applicable), Form 24, Form 44, Form 49 and latest Annual Return.

### 5. RIGHTS OF THE MANAGER

The Manager reserves the right to accept or reject any application in whole or in part thereof and reject any Fund Application Form which is not completed in full and supported by the requested documents and payments.

### 6. CUSTOMER CARE

If you require further information or clarification, please contact our Customer Service for assistance.

All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant. Unless due to wilful default or negligence of the Manager, the Manager shall not be responsible for any inaccuracy, interruption, error, delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. The Manager shall not be liable for any direct or indirect consequential losses arising from the foregoing.

The information that you have provided will be used strictly for our own purpose and shall not be shared with any other parties unless as required by law.



## PURCHASE / SWITCH FORM WHOLESALE FUND

Account No. \_\_\_\_\_

(for existing unit holders only)

Please tick (✓) if this is staff purchase

☐ Purchase ☐ Switch ☐ Staff Application

This Form should not be circulated unless accompanied by the relevant Information Memorandum(s). Investor(s) should read and understand the contents of the relevant Information Memorandum(s) and Supplementary Information Memorandum(s) if any before completing this Form. Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For 1st time investors, please fill up the application form to be submitted with this form.

### INDIVIDUAL APPLICANT

Name of Individual Applicant \_\_\_\_\_

NRIC No. (new) \_\_\_\_\_

NRIC No.(old)/ Passport No. \_\_\_\_\_

Tel No. \_\_\_\_\_

☐ Update (complete where applicable) ☐ Remain as previous application

Occupation/Designation \_\_\_\_\_

Education Level ☐ Primary ☐ Secondary ☐ STPM / Diploma / PreU ☐ Degree ☐ Post Graduate ☐ Others \_\_\_\_\_

Annual Income ☐ Up to RM18,000 ☐ RM18,001 - RM36,000 ☐ RM36,001 - RM50,000 ☐ RM50,001 - RM96,000

☐ RM96,001 - RM180,000 ☐ RM180,001 - RM240,000 ☐ RM240,001 and above

Source of Income ☐ Employed (Permanent/Contract) ☐ Own business ☐ Savings / Inheritance ☐ Others (please specify) \_\_\_\_\_

Employer's/Company's Name \_\_\_\_\_

Employer's/Company's Tel No. \_\_\_\_\_

Employer's/Company's Office ☐ In Malaysia ☐ Outside Malaysia (please specify) \_\_\_\_\_

Nature of Business of Applicant/ Applicant's Employer ☐ Financial/Banking/Investment ☐ Legal/Tax ☐ Telecommunications ☐ Hotel/ Restaurant ☐ Consultancy ☐ Government/ Government related

☐ Medical/Health/Science ☐ Education ☐ Real Estate/ Property ☐ Manufacturing ☐ Construction ☐ Others (please specify) \_\_\_\_\_

### JOINT INDIVIDUAL APPLICANT

Name as in NRIC/Passport \_\_\_\_\_

NRIC No. (new) : \_\_\_\_\_

NRIC No.(old)/ Passport No. \_\_\_\_\_

Tel No. \_\_\_\_\_

### CORPORATE APPLICANT

☐ Update on Corporation's documents ☐ Remain as previous application

Name of Company \_\_\_\_\_

Company Registration No \_\_\_\_\_

Name of Contact Person(s) \_\_\_\_\_

Tel No: \_\_\_\_\_ ext \_\_\_\_\_ Fax No. \_\_\_\_\_

### INVESTMENT OBJECTIVE & EXPERIENCE

Investment Objective

☐ Capital Growth ☐ Regular Income ☐ Capital Protection ☐ Retirement ☐ Education ☐ Wealth Accumulation

Investment Experience

☐ Unit Trust \_\_\_\_\_ year(s) ☐ Trading on Bursa Malaysia \_\_\_\_\_ year(s) ☐ Futures / Options \_\_\_\_\_ year(s) ☐ Others \_\_\_\_\_ year(s)

☐ No experience

Investment Time Frame

☐ Long Term (> 5 years) ☐ Medium (3-5 years) ☐ Short Term (< 3 years)

### DETAILS OF INVESTMENT APPLICATION

Note: Select a Distribution Instruction only if this is an initial investment in the relevant Fund(s) of RHB Asset Management Sdn Bhd ("RHBAM") and only if applicable. Depending on the Fund invested and subject to each respective Fund's Information Memorandum(s), income distribution will either be reinvested into further units in the relevant fund or be paid out.

Fund Name	***Plan Type	** Currency	Amount	****No. of years 1 - 5	Investment Type	*Distribution Instruction
					<input type="checkbox"/> Initial <input type="checkbox"/> Additional <input type="checkbox"/> Standing Instruction	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
					<input type="checkbox"/> Initial <input type="checkbox"/> Additional <input type="checkbox"/> Standing Instruction	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
					<input type="checkbox"/> Initial <input type="checkbox"/> Additional <input type="checkbox"/> Standing Instruction	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
					<input type="checkbox"/> Initial <input type="checkbox"/> Additional <input type="checkbox"/> Standing Instruction	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
					<input type="checkbox"/> Initial <input type="checkbox"/> Additional <input type="checkbox"/> Standing Instruction	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
TOTAL						

\* Account Details for income distribution to be credited:

\*\* specify the currency acronym eg. RM etc

\*\*\* Note: C - Cash Plan

S - Savings Plan

E - EPF Plan

\*\*\*\* Applicable for Regular Savings Plan

For MYR Currency

Bank Name : \_\_\_\_\_

Account Holder Name : \_\_\_\_\_

Account No. : \_\_\_\_\_

Account Type : ☐ Savings ☐ Current

Account Ownership : ☐ Single ☐ Joint

For Foreign Currency

Bank Name : \_\_\_\_\_

Account Holder Name : \_\_\_\_\_

Account No. : \_\_\_\_\_

Account Type : ☐ Savings ☐ Current

Account Ownership : ☐ Single ☐ Joint

Notes to be read before completing this section:

• Cheque/bank draft should be crossed and made payable to "RHB ASSET MANAGEMENT SDN BHD" for all funds. You should write your full name and NRIC No. on the back of each cheque. The cheque(s)/ bank draft(s) must be attached with this Form.

• You may bank-in cash or arrange for a bank transfer into one of the accounts as stated herein. Please attach the bank-in slip or a copy of the Direct Transfer form with this Form. It must clearly state your name, NRIC No, amount remitted and the name of the Fund(s) you are investing into.

• If you are investing via Standing Instruction, kindly fill up the Standing Instruction Form of the relevant bank and attach it with this Form.

Payment Mode for investment :

☐ Cheque/Bank Draft (Bank \_\_\_\_\_ No. \_\_\_\_\_) (Payable to "RHB Asset Management Sdn Bhd")

☐ Cash Deposit, kindly indicate the bank account which you banked into:

	Fund Name	Bank	Account No.
1	<input type="checkbox"/> All Funds	RHB	2-14129-00200777
2	<input type="checkbox"/> All Funds	Maybank	514011-592181
3	<input type="checkbox"/> RHB Income Plus Fund	RHB	2-14129-0024515-0
4	<input type="checkbox"/> RHB Income Plus Fund 5	RHB	2-14129-0021227-9
5	<input type="checkbox"/> RHB Islamic Income Plus Fund 5	RHB Islamic	2-14013-6001141-9
6	<input type="checkbox"/> All Funds	RHB Multi Currency (for foreign currencies)	6-14129-00007029

☐ Others \_\_\_\_\_

### FOR UTC / DISTRIBUTOR USE ONLY

RHBAM/ Distributor Branch

Code/ Stamp \_\_\_\_\_

Name of Staff/ UTC/ Distributor \_\_\_\_\_

Signature of Staff/ UTC/ Distributor \_\_\_\_\_

Distributor \_\_\_\_\_

Staff/UTC Code \_\_\_\_\_

FIMM Code \_\_\_\_\_

FIMM Code \_\_\_\_\_

### FOR OFFICE USE ONLY

Account No. \_\_\_\_\_

Trans. Sequence No \_\_\_\_\_

Price of Transaction \_\_\_\_\_  
(RM/relevant currency)

Trans Price Date \_\_\_\_\_

## DETAILS OF SWITCHING APPLICATION

Please ensure you maintain the minimum amount required in the original Fund as stated in the relevant Information Memorandum(s) and its Supplementary(ies).

SWITCH FROM	
Fund Name	No. of Units
1.	
2.	
3.	
4.	
5.	

SWITCH TO	
Fund Name	*Distribution Instruction
1.	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
2.	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
3.	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
4.	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account
5.	<input type="checkbox"/> Reinvest <input type="checkbox"/> *Credit into bank account

\*Account Details for income distribution to be credited:

Change of Bank Details (*Please provide latest Bank Details (Leave blank if there is no changes.)*)

For MYR Currency

Bank Name : \_\_\_\_\_  
 Account Holder Name : \_\_\_\_\_  
 Account No. : \_\_\_\_\_  
 Account Type : ☐ Savings ☐ Current  
 Account Ownership : ☐ Single ☐ Joint

For Foreign Currency

Bank Name : \_\_\_\_\_  
 Account Holder Name : \_\_\_\_\_  
 Account No. : \_\_\_\_\_  
 Account Type : ☐ Savings ☐ Current  
 Account Ownership : ☐ Single ☐ Joint

### LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust fund with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financing circumstances. You should be aware of the risk, which would include the following:-

- The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money that you put in as deposit or down payment) the greater the loss or gain on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the prescribed time, your units may be sold towards the settlement of your loan.

- Returns on investment are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are incurred instead. Whether you eventually realise a gain or incur loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take the loan. If you have doubts in respect of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

I / We acknowledge that I / We have read and understood the contents of the investment Loan Financing Risk Disclosure Statement. I / We do declare and represent that as at the date hereof, I / We am / are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us. With the completion of this form, it constitutes that I have read, understood and agreed to be bound by the notes, terms and conditions stated in this form. I also accept and acknowledge that RHB Asset Management Sdn Bhd has absolute discretion to reply on facsimile confirmation from me and undertake to indemnify and hold harmless RHB Asset Management Sdn Bhd, its employees and agents at all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.

## DECLARATIONS AND SIGNATURES

- I / We acknowledge that I / We have received, read and understood the relevant Information Memorandum(s) for the Fund(s) to be invested in, the Terms and Conditions of this Form and I/We undertake to be bound by them for my / our initial and subsequent transactions with RHB Asset Management Sdn Bhd ("RHBAM").
- I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/We were a party thereto.
- I/We acknowledge that I/We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s).
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application.
- I/We hereby agree to indemnify RHBAM against all actions, suits, proceedings, claims, damages and losses which may be suffered by RHBAM as a result of any inaccuracy of the declarations herein.

## ALL APPLICANTS MUST SIGN THIS FORM

Applicant / Authorised Signatory (ies) Date

Joint Applicant / Authorised Signatory (ies) Date

Company Stamp / Common Seal